



## Trust Company Renewal

## Instructions

Section 1

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November 14, 2008

## Trust Company Certificate Renewal Application Instruction Sheet

### This Will Be Your Only Notification Too Renew For Renewal Period January 1 through December 31, 2009

Enclosed is an Application for Certificate Renewal and the Annual Report of Trust Assets, to be used in renewing your trust certificate.

**NOTE: DFI will no longer send renewal reminder notices. It is your responsibility to know when the renewal is to be submitted to DFI. DFI will have the renewal application on its website approximately 4 to 6 weeks before the statutory renewal date.**

Pursuant to A.R.S. § 6-855 the annual renewal fee of one thousand dollars (\$1,000) plus two hundred fifty dollars (\$250) for each branch office is payable on or before January 1. A penalty payment of one hundred dollars (\$100) shall be assessed for each day after January 15 that the renewal and fee(s) are not received by the Department.

You must answer all questions on the renewal itself. Do not answer questions with "See Attachments". Only have "Attachments" if you need additional space.

**Licensing Changes:** The licensee is required to notify this department at the time changes are being made regarding the license (i.e. licensee name, address, office closure, bond, change of control, top five officers/trustees/partners/directors/owners). If you have changes to your information on file with this Department you will need to download the form "Changing your License" and provide the necessary documents to bring your file up-to-date. Provide any changes separate from the renewal.

*One of the top five (5) officers or the Chief Trust Officer must sign the application form where indicated and type/print the name and title of the person signing. Be certain that it is notarized, that all attachments are identified with the name of the licensee, and that it is submitted as a single package with the appropriate fees to:*

Arizona Department of Financial Institutions  
Licensing Section  
2910 N. 44th Street, Suite 310  
Phoenix, AZ 85018

**REMINDER - Annual Report of Trust Assets:** Administrative rules provide that within 90 days following the 31<sup>st</sup> of December each year, an "Annual Report of Trust Assets," shall be filed with the Superintendent (by March 31). Pursuant to A.R.S. § 6-861 the Superintendent will assess a penalty of fifty dollars (\$50.00) for each day the trust company reports are delinquent.

**REQUIRED:** We require a current "Certificate of Good Standing" from the Arizona Corporation Commission with your renewal, if the license is subject to the Arizona Business Corporation Act regulated by the Arizona Corporation Commission (ACC). If you are licensed with our Department as a sole proprietor or partnership this does not apply to you. Contact the ACC Corporate Records Section at 602-542-3026 or go to their website @ [www.cc.state.az.us](http://www.cc.state.az.us) to find out how to get this certificate online. Pull up your company name on their website and click on the "Check Corporate Status". Follow their instructions for downloading the Certificate.

**TO VERIFY** if you have been renewed go to our website and click on "List of Licensees". If it shows "Renewing" we have not processed or completed the process of your renewal. If it shows an expiration date of December 31, 2009 you have been renewed. Please inform your customers or clients that if you are on the website you are active and can still do business while in the Renewing process. If you have been renewed you will not get a new license unless there has been a change in the name or address on the current license you have. If you have closed any locations you must return the original license to this Department or pay a \$100 charge. **Provide a copy of this page to anyone who questions your "Active" status on our website. Our website is [www.azdfi.gov](http://www.azdfi.gov).**

**MAKE CHECKS PAYABLE TO:** Arizona Department of Financial Institutions or AZDFI **Fingerprint Fees must be on a separate check if applicable.**

Arizona Department of Financial Institutions		
<p align="center"><b>Trust Company Renewal</b></p> <p align="center"><b>License Year January 1 through December 31, 2009</b></p>		
		Section 2      Page 1 of 4

***This application must be completed by typewriter or legibly printed.***

**Do not leave any blank spaces.** There must be an answer provided for each inquiry. If not applicable, use "none" or "n/a". Make additional copies of any page or attach a separate sheet if addition space is necessary.

To the Superintendent of Financial Institutions:      License Number: \_\_\_\_\_

Application is hereby made for a license to engage in and carry on the business of a Trust Company, pursuant to provisions of Title 6, Chapter 8, Arizona Revised Statutes.

<b>1. Primary Address:</b>			
Applicant Name: (Name approved by the Arizona Corporation Commission.)			Federal Tax ID Number:
Doing Business As: Optional (Name approved by the Arizona Secretary of State)			
Address Line 1:			
Address Line 2:			
City:		State: AZ	Zip Code:
AZ Telephone Number:	AZ Fax Number:	Toll Free Number:	
Business Web Page Address:		E-mail Address: (Required)	
<b>2. Mailing Address:</b>			
Address Line 1:			
Address Line 2:			
City:		State:	Zip Code:
<b>3. Corporate Office Address:</b>			
Address Line 1:			
Address Line 2:			
City:		State:	Zip Code:
Telephone Number:	Fax Number:	Toll Free Number:	
<b>4. Parent Company - If applicable:</b>			
Company Name:			
Address Line 1:			
Address Line 2:			
City:		State:	Zip Code:
Telephone Number:	Fax Number:	Toll Free Number:	
<b>5. Total Number of Arizona Employees:</b>			
<b>6. Provide most recent annual filing DATE with the Arizona Corporation Commission:</b>			



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**7. Current Ownership**

Specify controlling owners (more than 15%) of Trust Company. Voting shares must total 100%.

Name:	Drivers License Number and state where issued:	Percentage:
Name:	Drivers License Number and state where issued:	Percentage:
Name:	Drivers License Number and state where issued:	Percentage:
Name:	Drivers License Number and state where issued:	Percentage:
Name:	Drivers License Number and state where issued:	Percentage:
Name:	Drivers License Number and state where issued:	Percentage:
List additional owners on a separate sheet		Total Ownership
		Must total 100%

**8. Directors and Senior Officers:**

List the directors and senior officers of your corporation. (Must have at least 3 Directors pursuant to A.R.S. 6-854.02)

a. Name:	Capacity/Title:
b. Name:	Capacity/Title:
c. Name:	Capacity/Title:
d. Name:	Capacity/Title:
e. Name:	Capacity/Title:

**9. Managers:**State the names of the persons who will manage the trust business. Furnish sufficient information on each person to show that person's ability to operate the trust business in a sound and lawful manner: *Do you need to provide paperwork on any new officers in the top 5?*

a. Name:	Capacity/Title:
Experience:	
b. Name:	Capacity/Title:
Experience:	
c. Name:	Capacity/Title:
Experience:	



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**9. Managers: (continued)**

d.	Name:	Capacity/Title:
Experience:		
e.	Name:	Capacity/Title:
Experience:		

**10. State whether applicant or any of the above named persons has within the last 15 years:**

a.	been convicted of any criminal offense other than a traffic violation?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b.	had a final judgment entered against him/her?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
c.	filed bankruptcy?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d.	had an order entered against him/her by an administrative agency of this state, the federal government, or any other state or territory of the United States?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Complete details *must* be furnished if you answered yes to any of these questions (10 a through d).**11. Does any agency or instrumentality of any state or Federal Government license you?**☐ Yes☐ No

If yes, name the agency or instrumentality and type of business to be carried on pursuant to such license or licenses

a.	Name of Agency or Instrumentality:	Type of Business:
b.	Name of Agency or Instrumentality	Type of Business:
c.	Name of Agency or Instrumentality	Type of Business:
d.	Name of Agency or Instrumentality	Type of Business:
e.	Name of Agency or Instrumentality	Type of Business:

**12. Branches:**List branches you are renewing. Do not list your primary office location as a branch location. If you are closing any branches please return the original license.

a.	Address	City	State:	Zip Code:
Telephone Number:		FAX Number:		
b.	Address	City	State:	Zip Code:
Telephone Number:		FAX Number:		
c.	Address	City	State:	Zip Code:
Telephone Number:		FAX Number:		

List additional branched on a separate sheet.

**13. Statutory Agent:**

Statutory Agent Name:			
Address:			
City:	State:	Zip Code:	



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**14. Auditing Agency:**

Certified Public Accountant firm or agency which audits your financial records.

Name:		Your fiscal year end is: <b>Mon / Day</b>	
Address:			
City:	State:	Zip Code:	

**15. Capital:**

Is your company in compliance with the liquid capital requirement (\$500,000) according to Arizona Revised Statutes A.R.S. 6-856(A) and (B)?

Form:	Location:	Amount: \$	Date of Maturity:
Form:	Location:	Amount: \$	Date of Maturity:

**16. Contact Coordinator:**

Designated person who will coordinate this application process.

Name:			
Address:			
City:	State:	Zip Code:	
Telephone Number:	Fax Number:	Toll Free Number:	

**17. Provide the amount of the Fidelity Bond being held according to Trust Assets. See A.R.S. 6-868.**

Total Assets Amount: Fidelity Bond Amount:

Renewal Fee Enclosed: \$ 1,000. Principal Office in Arizona. **Make Checks payable to "AZDFI"**

\$ Number of Branches X's \$250

(Do not count AZ Principal Location as a branch)

\$ Fee(s) for Licenses Changes (See form Changing your License)

\$ Penalty of \$100 for each day after January 15 that the renewal with the proper fees are not received. Post mark dates are not consider timely

\$ Total Fees Enclosed. Make checks payable to: AZ Department of Financial Institutions or AZDFI. Fingerprints Fees (\$24 per person) should be on separate check if applicable.

**Affidavit**

State of \_\_\_\_\_

County of \_\_\_\_\_ ) ss

I \_\_\_\_\_

print your name

being duly sworn, depose and say that I have signed the

foregoing application as \_\_\_\_\_ of the above named applicant, having full authority

print official capacity

to sign such application in said capacity; that I have read said application and that the information contained therein is true.

Date

Signature

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

My Commission Expires

Notary Public's Signature



## Trust Company Renewal

Annual Report of Trust Assets as of December 31, \_\_\_\_\_

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## ASSETS

Amount

Non-Interest Bearing Deposits:	
▶ Own Bank and Affiliated Institutions	
▶ Other Institutions	
Interest Bearing Deposits:	
▶ Own Bank and Affiliated Institutions	
▶ Other Institutions	
U.S. Government and Agency Obligations	
State, County, and Municipal Obligations	
Other Short-term Obligations	
Other Notes and Bonds	
Money Market Mutual Funds	
All Other Mutual Funds	
Stocks	
Collective Investment Fund Units	
Real Estate Mortgages	
Real Estate	
Miscellaneous Assets	
Suspense Accounts	
Other:	
▶	
▶	
<b>TOTAL ASSETS</b>	
Overdrafts (shown gross) included above:	
The assets listed above are carried at: (Check all applicable)	
<input type="checkbox"/> Cost	<input type="checkbox"/> Nominal
<input type="checkbox"/> Inventory Value	<input type="checkbox"/> Tax Value
<input type="checkbox"/> Other (Describe)	
I hereby certify that the foregoing is a true statement of the company's assets and liabilities as shown on the company's books.	
Officer's Name and Title	Company Name and Location (City and State)
Officer's Signature	Date
This is an official document. Any false information in it may be grounds for prosecution and may be punishable by fine or imprisonment.	



## Trust Company Renewal

Annual Report of Trust Assets as of December 31, \_\_\_\_\_

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LIABILITIES	Amount	Accounts (Number)
<u>Personal Accounts:</u>		
▶ Personal Trusts		
▶ Estates and Guardianships		
<u>Employee Benefit Accounts:</u>		
▶ Trusts		
▶ Agencies		
<u>All Other Agencies:</u>		
▶ Investment Management and Advisory		
▶ Custodial		
▶ All Other		
<u>Corporate and Municipal Securities Appointments:</u>		
▶ Bond Trusteeships		
▶ Agencies		
Accountability Subtotals		
<u>Collective Investment Funds:</u>		Funds (Number)
▶ Employee Benefit		
▶ Other		
<u>Internal Accounts:</u>		
▶ Profit Accounts		
▶ Suspense Accounts		
TOTAL LIABILITIES		
I hereby certify that the foregoing is a true statement of the company's assets and liabilities as shown on the company's books.		
Officer's Name and Title	Company Name and Location (City and State)	
Officer's Signature	Date	
This is an official document. Any false information in it may be grounds for prosecution and may be punishable by fine or imprisonment.		

	Arizona Department of Financial Institutions <b>ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS</b>	
	Section 2 <b>License Renewal Application</b>	

**Form 1: LONG FORM APPLICANT STATEMENT (revised)  
REQUIRING SUBMISSION OF DOCUMENTATION OF STATUS**

**ARIZONA STATEMENT OF CITIZENSHIP  
AND ALIEN STATUS FOR STATE PUBLIC BENEFITS**  
**Professional License and Commercial License**  
 Arizona Department of Financial Institutions

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrants, and certain aliens paroled into the United States are eligible to receive state or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 1-501 requires, in general, that a person applying for a license must submit documentation to the licensing agency that satisfactorily demonstrates that the applicant is lawfully present in the United States.

**Directions:** All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III. Submit this completed form and copy of one or more documents that evidence your citizenship or alien status with your application for license or renewal.

**SECTION I — APPLICANT INFORMATION**

APPLICANT'S NAME (Print or type) \_\_\_\_\_ DATE \_\_\_\_\_

TYPE OF APPLICATION (check one)    \_\_\_ INITIAL APPLICATION                    \_\_\_ RENEWAL

TYPE OF LICENSE \_\_\_\_\_

**SECTION II — CITIZENSHIP OR NATIONAL STATUS DECLARATION**

**Directions:** Attach a legible copy of the front, and the back (if any), of a document from the attached List A or other document that demonstrates U.S. citizenship or nationality. Name of document provided: \_\_\_\_\_

A. Are you a citizen or national of the United States? (check one)    \_\_\_ Yes                    \_\_\_ No

B. If the answer is "Yes," where were you born? List city, state (or equivalent), and country.

City \_\_\_\_\_ State (or equivalent) \_\_\_\_\_ Country or Territory \_\_\_\_\_

If you are a citizen or national of the United States, go to Section IV. If you are not a citizen or national of the United States, please complete Sections III and IV.

**SECTION III — ALIEN STATUS DECLARATION**

**Directions:** To be completed by applicants who are not citizens or nationals of the United States. Please indicate alien status by checking the appropriate box. Attach a legible copy of the front, and the back (if any), of a document from the attached List B or other document that evidences your status. A.R.S. § 1-501. Name of document provided: \_\_\_\_\_

**"Qualified Alien" Status** (8 U.S.C. §§ 1621(a)(1), -1641(b) and (c))

- ☐ 1. An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA).





Section 2

Arizona Department of Financial Institutions

# ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS

## License Renewal Application



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- ☐ 2. An alien who is granted asylum under Section 208 of the INA.
- ☐ 3. A refugee admitted to the United States under Section 207 of the INA
- ☐ 4. An alien paroled into the United States for at least one year under Section 212(d)(5) of the INA.
- ☐ 5. An alien whose deportation is being withheld under Section 243(h) of the INA.
- ☐ 6. An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
- ☐ 7. An alien who is a Cuban and Haitian entrant (as defined in section 501(e) of the Refugee Education Assistance Act of 1980).
- ☐ 8. An alien who is, or whose child or child's parent is a "battered alien" or an alien subjected to extreme cruelty in the United States.

### Nonimmigrant Status (8 U.S.C. § 1621(a)(2))

- ☐ 9. A nonimmigrant under the Immigration and Nationality Act [8 U.S.C. § 1101 et seq.] Nonimmigrants are persons who have temporary status for a specific purpose. See 8 U.S.C. § 1101(a)(15).

### Alien Paroled into the United States For Less Than One Year (8 U.S.C. § 1621(a)(3))

- ☐ 10. An alien paroled into the United States for less than one year under Section 212(d)(5) of the INA

### Other Persons (8 U.S.C. § 1621(c)(2)(A) and (C))

- ☐ 11. A nonimmigrant whose visa for entry is related to employment in the United States, or
- ☐ 12. A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 *et seq.*];
- ☐ 13. A foreign national not physically present in the United States.

### Otherwise Lawfully Present (A.R.S. § 1-501)

- ☐ 14. A person not described in categories 1–13 who is otherwise lawfully present in the United States.  
**PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. § 1621(a).**

## SECTION IV — DECLARATION

**All applicants must complete this section.** I declare under penalty of perjury under the laws of the state of Arizona that the answers I have given are true and correct to the best of my knowledge.

\_\_\_\_\_  
APPLICANT'S SIGNATURE

\_\_\_\_\_  
TODAY'S DATE

**Attachment: Lists A and B Evidence of U.S. Citizenship, U.S National Status, or Alien Status,**

11/08/07

81662



# ARIZONA STATEMENT OF CITIZENSHIP AND ALIEN STATUS FOR STATE PUBLIC BENEFITS

## License Renewal Application



### Attachment to Form 1 Applicant Statement

## EVIDENCE OF U.S. CITIZENSHIP, U.S NATIONAL STATUS, OR ALIEN STATUS

### LIST A: U.S. CITIZEN OR U.S. NATIONAL

Note: In this List, the term "Service" refers to the U.S. Citizenship and Immigration Service, formerly, the U.S. Immigration and Naturalization Service (INS).

[Source: Proposed Rules, Verification of Eligibility for Public Benefits, 8 CFR § 104.23; 63 FR 41662-01 August 4, 1998); and Interim Guidance of Verification of Citizenship, Qualified Alien Status and Eligibility Under Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 ("Interim Guidance"), 62 FR 61344 (Nov. 17, 1997), Attachment 4]

#### Evidence showing U.S. citizen or U.S. national status includes the following:

##### a. Primary Evidence:

- (1) A birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction);
- (2) United States passport;
- (3) Report of birth abroad of a U.S. citizen (FS-240) (issued by the Department of State to U.S. citizens);
- (4) Certificate of Birth (FS-545) (issued by a foreign service post) or Certification of Report of Birth (DS-1350), copies of which are available from the Department of State;
- (5) Form N-561, Certificate of Citizenship;
- (6) Form I-197, United States Citizen Identification Card (issued by the Service until April 7, 1983 to U.S. citizens living near the Canadian or Mexican border who needed it for frequent border crossings) (formerly Form I-179, last issued in February 1974);
- (7) Form I-873 (or prior versions), Northern Marianas Card (issued by the Service to a collectively naturalized U.S. citizen who was born in the Northern Mariana Islands before November 3, 1986);
- (8) Statement provided by a U.S. consular official certifying that the individual is a U.S. citizen (given to an individual born outside the United States who derives citizenship through a parent but does not have an FS-240, FS-545, or DS-1350); or
- (9) Form I-872 (or prior versions), American Indian Card with a classification code "KIC" and a statement on the back identifying the bearer as a U.S. citizen (issued by the Service to U.S. citizen members of the Texas Band of Kickapoos living near the U.S./Mexican border).

[Source: Interim Guidance of Verification of Citizenship, Qualified Alien Status and Eligibility Under Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 ("Interim Guidance"), 62 FR 61344 (Nov. 17, 1997), Attachment 4]

##### b. Secondary Evidence

If the applicant cannot present one of the documents listed in (a) above, the following may be relied upon to establish U.S. citizenship or U.S. national status:

- (1) Religious record recorded in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction) within three 3 months after birth showing that the birth occurred in such jurisdiction and the date of birth or the individual's age at the time the record was made;
- (2) Evidence of civil service employment by the U.S. government before June 1, 1976;
- (3) Early school records (preferably from the first school) showing the date of admission to the school, the applicant's date and U.S. place of birth, and the name(s) and place(s) of birth of the applicant's parents(s);
- (4) Census record showing name, U.S. nationality or a U.S. place of birth, and applicant's date of birth or age;

**ARIZONA STATEMENT OF CITIZENSHIP  
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- (5) Adoption finalization papers showing the applicant's name and place of birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction), or, when the adoption is not finalized and the state or other U.S. jurisdiction listed above will not release a birth certificate prior to final adoption, a statement from a State-or jurisdiction-approved adoption agency showing the applicant's name and place of birth in one of such jurisdictions, and stating that the source of the information is an original birth certificate;
- (6) Any other document that establishes a U.S. place of birth or otherwise indicates U.S. nationality (e.g., a contemporaneous hospital record of birth in that hospital in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time) (unless the applicant was born to foreign diplomats residing in such a jurisdiction);

**c. Collective Naturalization**

If the applicant cannot present one of the documents listed in (a) or (b) above, the following will establish U.S. citizenship for collectively naturalized individuals:

**Puerto Rico:**

- Evidence of birth in Puerto Rico on or after April 11, 1899 and the applicant's statement that he or she was residing in the U.S., a U.S. possession or Puerto Rico on January 13, 1941; or
- Evidence that the applicant was a Puerto Rican citizen and the applicant's statement that he or she was residing in Puerto Rico on March 1, 1917 and that he or she did not take an oath of allegiance to Spain.

**U.S. Virgin Islands:**

- Evidence of birth in the U.S. Virgin Islands, and the applicant's statement of residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927;
- The applicant's statement indicating resident in the U.S. Virgin Islands as a Danish citizen on January 17, 1917 and residence in the U.S., a U.S. possession or the U.S. Virgin Islands on February 25, 1927, and that he or she did not make a declaration to maintain Danish citizenship; or
- Evidence of birth in the U.S. Virgin Islands and the applicant's statement indicating residence in the U.S., a U.S. possession or territory or the Canal Zone on June 28, 1932.

**Northern Mariana Islands (NMI) (formerly part of the Trust Territory of the Pacific Islands (TTPI)):**

- Evidence of birth in the NMI, TTPI citizenship and residence in the NMI, the U.S., or a U.S. territory or possession on November 3, 1986 (NMI local time) and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time);
- Evidence of TTPI citizenship, continuous residence in the NMI since before November 3, 1981 (NMI local time), voter registration prior to January 1, 1975 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time); or
- Evidence of continuous domicile in the NMI since before January 1, 1974 and the applicant's statement that he or she did not owe allegiance to a foreign state on November 4, 1986 (NMI local time). Note: If a person entered the NMI as a nonimmigrant and lived in the NMI since January 1, 1974, this does not constitute continuous domicile and the individual is not a U.S. citizen

**d. Derivative Citizenship**

If the applicant cannot present one of the documents listed in a or b above, the following may be used to make a determination of derivative U.S. citizenship:

**Applicant born abroad to two U.S. citizen parents:** Evidence of the U.S. citizenship of the parents and the relationship of the applicant to the parents, and evidence that at least one parent resided in the U.S. or an outlying possession prior to the applicant's birth.

**Applicant born abroad to a U.S. citizen parent and a U.S. non-citizen national parent:** Evidence that one parent is a U.S. citizen and that the other is a U.S. non-citizen national, evidence of the relationship of the applicant to the U.S. citizen parent, and evidence that the U.S. citizen parent resided in the U.S., a U.S. possession, American Samoa or Swain's Island for a period of at least one year prior to the applicant's birth.

**Applicant born out of wedlock abroad to a U.S. citizen mother:** - Evidence of the U.S. citizenship of the mother, evidence of the relationship to the applicant and, for births on or before December 24, 1952, evidence that the



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mother resided in the U.S. prior to the applicant's birth or, for births after December 24, 1952, evidence that the mother had resided, prior to the child's birth, in the U.S. or a U.S. possession for a period of one year.

### **Applicant born in the Canal Zone or the Republic of Panama:**

- A birth certificate showing birth in the Canal Zone on or after February 26, 1904 and before October 1, 1979 and evidence that one parent was a U.S. citizen at the time of the applicant's birth; or
- A birth certificate showing birth in the Republic of Panama on or after February 26, 1904 and before October 1, 1979 and evidence that at least one parent was a U.S. citizen and employed by the U.S. government or the Panama Railroad Company or its successor in title.

In all other situations in which an applicant claims to have a U.S. citizen parent and an alien parent, or claims to fall within one of the above categories, but is unable to present the listed documentation:

- If the applicant is in the U.S., the applicant should contact the local U.S. Citizenship and Immigration Service office for determination of U.S. citizenship;
- If the applicant is outside the U.S., the applicant should contact the State Department for a U.S. citizenship determination.

### **e. Adoption of Foreign-Born Child by U.S. Citizen**

- If the birth certificate shows a foreign place of birth and the applicant cannot be determined to be a naturalized citizen under any of the above criteria, obtain other evidence of U.S. citizenship;
- Because foreign-born adopted children do not automatically acquire U.S. citizenship by virtue of adoption by U.S. citizens, the applicant should contact the local U.S. Citizenship and Immigration Service office for a determination of U.S. citizenship, if the applicant provides no evidence of U.S. citizenship.

### **f. U.S. Citizenship By Marriage**

A woman acquired U.S. citizenship through marriage to a U.S. citizen before September 22, 1922. Provide evidence of U.S. citizenship of the husband, and evidence showing the marriage occurred before September 22, 1922.

Note: If the husband was an alien at the time of the marriage, and became naturalized before September 22, 1922, the wife also acquired naturalized citizenship. If the marriage terminated, the wife maintained her U.S. citizenship if she was residing in the U.S. at that time and continued to reside in the U.S.

## **LIST B: QUALIFIED ALIENS, NONIMMIGRANTS, AND ALIENS PAROLED INTO U.S. FOR LESS THAN ONE YEAR**

The documents listed below that are registration documents are indicated with an asterisk (\*).

### **a. "Qualified Aliens"**

Evidence of "Qualified Alien" status includes the following:

#### ***Alien Lawfully Admitted for Permanent Residence***

- \*Form I-551 (Alien Registration Receipt Card, commonly known as a "green card"); or
- Unexpired Temporary I-551 stamp in foreign passport or on \*I Form I-94.

#### ***Asylee***

- \* Form I-94 annotated with stamp showing grant of asylum under section 208 of the INA;
- \*Form I-688B (Employment Authorization Card) annotated "274a.12(a)(5)";
- \* Form I-766 (Employment Authorization Document) annotated "A5";
- Grant letter from the Asylum Office of the U.S. Citizenship and Immigration Service; or
- Order of an immigration judge granting asylum.

#### ***Refugee***

- \* Form I-94 annotated with stamp showing admission under § 207 of the INA;
- \* Form I-688B (Employment Authorization Card) annotated "274a.12(a)(3)"; or
- \* Form I-766 (Employment Authorization Document) annotated "A3"

**ARIZONA STATEMENT OF CITIZENSHIP  
AND ALIEN STATUS FOR STATE PUBLIC BENEFITS****License Renewal Application*****Alien Paroled Into the U.S. for a Least One Year***

- \* Form I-94 with stamp showing admission for at least one year under section 212(d)(5) of the INA. (Applicant cannot aggregate periods of admission for less than one year to meet the one-year requirement.)

***Alien Whose Deportation or Removal Was Withheld***

- \* Form I-688B (Employment Authorization Card) annotated "274a.12(a)(10)";
- \* Form I-766 (Employment Authorization Document) annotated "A10"; or
- Order from an immigration judge showing deportation withheld under §243(h) of the INA as in effect prior to April 1, 1997, or removal withheld under § 241(b)(3) of the INA.

***Alien Granted Conditional Entry***

- \* Form I-94 with stamp showing admission under §203(a)(7) of the INA;
- \* Form I-688B (Employment Authorization Card) annotated "274a.12(a)(3)"; or
- \* Form I-766 (Employment Authorization Document) annotated "A3."

***Cuban/Haitian Entrant***

- \* Form I-551 (Alien Registration Receipt Card, commonly known as a "green card") with the code CU6, CU7, or CH6;
- Unexpired temporary I-551 stamp in foreign passport or on \* Form I-94 with the code CU6 or CU7; or
- Form I-94 with stamp showing parole as "Cuba/Haitian Entrant" under Section 212(d)(5) of the INA.

***Alien Who Has Been Declared a Battered Alien or Alien Subjected to Extreme Cruelty***

- U.S. Citizenship and Immigration Service petition and supporting documentation

**b. Nonimmigrant**

Evidence of "Nonimmigrant" status includes the following:

- \* Form I-94 with stamp showing authorized admission as nonimmigrant

**c. Alien Paroled into U.S. for Less than One Year**

Evidence includes:

- \* Form I-94 with stamp showing admission for less than one year under section 212(d)(5) of the INA

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